

# Accredited Investor Suitability Form

Please mail or fax this completed form to  
**WIEN & MALKIN SECURITIES CORP.**

60 East 42nd Street  
 New York, NY 10165

Telephone: (212) 883-WIEN, ext. 2695    Telecopier: (212) 983-1385

*All responses will be kept confidential*

## PERSONAL BACKGROUND INFORMATION

Name: <i>(First, MI, Last)</i>			Date of Birth:	Social Security No.:
Home Address:			City:	
State:	Zip:	In what state are you registered to vote?	Home Telephone:	2 <sup>nd</sup> Telephone:
Fax No.:		E-mail Address:	Cellular:	

Business/Profession:	Title:	Company Name:	Employed Since:
Business Address:			
City:	State:	Zip:	Business Telephone:
			Business Fax
			Business Cellular:

To which address would you prefer that correspondence be sent?    *Home Address*  *Business Address*

<b>Overall Investment Objectives</b> (Rank from 1 through 4 in order of priority; 1 being the highest): _____ Growth    _____ Current Income    _____ Tax Deferral    _____ Liquidity	<b>Risk Tolerance (Check One):</b> <input type="checkbox"/> Aggressive <input type="checkbox"/> Moderate <input type="checkbox"/> Conservative	<b>Do Investment Objectives Allow Speculation?</b> <input type="checkbox"/> No <input type="checkbox"/> Yes	<b>Primary Source of Income:</b> <input type="checkbox"/> Investments <input type="checkbox"/> Compensation
<b>Please indicate your Real Estate Objectives</b> (Rank from 1 through 4 in order of priority; 1 being the highest): _____ Growth    _____ Current Income    _____ Tax Deferral    _____ Liquidity	<b>Please estimate the percent of real estate in your investment portfolio (excluding personal residence): _____%</b>		

### DETERMINATION OF ACCREDITED INVESTOR STATUS

*The undersigned is an accredited investor by reason of at least one of the following (check every item that applies):*

- 1. My net worth (either individually or with my spouse, if any), including home(s), investments and all property and other assets, is at least \$1,000,000.
- 2. My individual annual income was at least \$200,000 in each of the two most recent years, and I expect such income in the current year.
- 3. My annual income, jointly with my spouse, was at least \$300,000 in each of the two most recent years, and I expect such income in the current year.
- 4. All of the undersigned entity's equity owners meet at least one of the three tests listed above.
- 5. The undersigned is (a) trust with total assets in excess of \$5,000,000 whose investment decisions are directed by a sophisticated person, or (b) a revocable trust created by an investor for his or her own benefit who meets at least one of the first three tests listed above.

### PRIOR INVESTMENT EXPERIENCE

- My business or investment experience is such that I can analyze a prospective real estate investment and determine whether it is suitable for me.
- I normally consult with an investment advisor before making a real estate investment decision.
- I have experience as an investor in:
  - Stocks which are listed on a national securities exchange.
  - Mutual funds which hold a portfolio primarily consisting of stocks.
  - Taxable bonds or other debt instruments.
  - Tax exempt bonds.
  - Partnerships, limited liability companies, corporations which invest in real estate or real estate investment trusts (REITs).
  - Other types of investments not mentioned in any of the previous categories (describe) \_\_\_\_\_

I was referred to WIEN & MALKIN SECURITIES by:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**CUSTOMER IDENTIFICATION PROGRAM**

*IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT*

To help the government fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account.

This Notice answers some questions about our Customer Identification Program.

**What types of information will I need to provide?**

When you open an account, we are required to collect information such as the following:

- Your name**
- Date of Birth**
- Address**
- Identification Number**
  - U.S. Citizen: taxpayer identification number (social security number or employer identification number)
  - Non-U.S. Citizen: taxpayer identification number, passport number, and country of issuance, alien identification card number, or government issued identification showing nationality, residence, and a photograph of you.

You may also need to show your driver's license or other identifying documents.

A corporation, partnership, trust or other legal entity may need to provide other information, such as its principal place of business, local office, employer identification number, certified articles of incorporation, government-issued business license, a partnership agreement or a trust agreement.

U.S. Department of the Treasury, Securities and Exchange Commission, NASD, and the New York Stock Exchange rules already require you to provide most of this information. These rules also may require you to provide additional information, such as your net worth, annual income, occupation, employment information, investment experience and objectives, and risk tolerance.

**ALL INFORMATION WILL BE USED ONLY BY WIEN & MALKIN SECURITIES. IT SHALL REMAIN ABSOLUTELY CONFIDENTIAL AND SHALL NOT BE SHARED WITH ANY OTHER PROVIDER OR VENDOR.**

**Please indicate one of the following and enclose a copy of your identification with form.**

Driver's License No. _____
State of Issuance: _____

**OR**

Passport No. _____
Other Gov't ID: _____

**INVESTOR REFERRALS**

**Do you have family or friends who you think could benefit from learning about the ongoing Wien & Malkin Securities investment program? (All referrals will be kept confidential).**

Name:	Street Address:		
City:	State:	Zip Code:	
Daytime telephone number:	Relationship:		

Name:	Street Address:		
City:	State:	Zip Code:	
Daytime telephone number:	Relationship:		